USTOMER NO. 24498 ial No. 10/091,816

#### PATENT PU010195

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant

SCOTT EDWARD KLOPFENSTEIN

Serial No.

10/091,816

Filed

March 6, 2002

For

METHOD AND APPARATUS FOR ADAPTIVELY

STORING PROGRAM GUIDE DATA

Examiner

Jason P. Salce

Art Unit

2611

### **INFORMATION DISCLOSURE STATEMENT**

[ ]1 Pursuant to 37 CFR 1.97(b)

[within 3 months of filing or prior to 1st Office Action]

[ 12 Certification Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

[ ]3 Fee Payment Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [X]4 [before issue fee payment]

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 &

1.98:

[X]5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[ ]6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[ ]7

37 CFR 1.97(c):

[before Final Office Action or Allowance, whichever

is earlier]; and

[X] 8The required certification made in item 11 below; or

[ ] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[X]10 37 CFR§ 1.97(d): [before issue fee payment]; and

- This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_\_ "), and
- The required Certification is stated in item 11 below. (b)



#### [X] 11 Certification

- [X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X] 14 Please charge the \$130.00 fee and any other costs that may be associated with the submittal of this Information Disclosure Statement to Deposit Account No. <u>07-0832</u>. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

SCOTT EDWARD KLOPFENSTEIN.

BY:

Guy H. Eriksen, Attorney for Applicants

Registration No. 41,736

(609) 734-6807

GHE:pdf

Thomson Licensing Inc.
Patent Operations
P. O. Box 5312
Princeton, New Jersey 08543-5312

**Enclosures** 

August 10, 2005

#### **Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date: Mugust 11, 2005

Signature

Patricia M. Fedorowycz

PTO/SB/17 (12-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
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	Effective on 12/08/2004.
nursuant	to the Consolidated Appropriations

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Spes pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	Complete if Known			
FEE TRANSMITTAL	Application Number	10/091,816		
FEE INAMOMITIAL	Filing Date	March 6, 2002		
for FY 2005	First Named Inventor	Scott Edward Klopfenstein		
	Examiner Name	Jason P. Salce		
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2611		
TOTAL AMOUNT OF PAYMENT (\$) 130.00	Attorney Docket No.	PU010195		

METHOD OF PAYMENT (	check all that a	pply)	_					
☐ Check ☐ Credit card ☐ Money Order ☐				☐ None	Other (ple	ase identify):		
Customer Number 24498								
Deposit Account: Deposit Account Number 07-0832 Deposit Account Name: THOMSON LICENSING INC.							LICENSING INC.	
For the above-ider	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)							
Charge fee				☐ Charge fee	e(s) indicated	below, excep	t for the filing fee	
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FEE CALCULATION								
1. BASIC FILING, SEA			FEES			-		
	FILING	FEES Small Entity	SEAR	CH FEES	EXAMINA	TION FEES	_414	
Ameliandan Tona	E (A)			Small Entity		Small E		
Application Type	Fee (\$)	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	Fees Paid (\$)	
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FEES Small Entity								
Fee Description					. <u>Fe</u>	e (\$)	Fee (\$)	
Each claim over 20 (include	ding Reissues	;)			50		25	
Each independent claim over 3 (including Reissues)			•	200	)	100		
Multiple dependent claims					360	)	180	
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3. APPLICATION SIZE FEE								
	If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
			a) and 37 OFR	1.10(5).				
Total Sheets	Extra Sh	eets <u>Nu</u>	mber of each a	dditional 50 or fra	ction thereof	<u>Fee (\$)</u>	Fee Paid (\$)	
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4. OTHER FEE(S)			•				Fees Paid (\$)	
Non-English Specification, \$130 fee (no small entity discount)								
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SUBMITTED BY		-			446			

SUBMITTED BY						
Name (Print/Type)	GUY H. ERIKSEN	Registration No. (Attorney/Agent)	41,736	Telephone	(609) 734-6808	
Signature	1 ANT 8	En l			August 10, 2005	

This collection of information is required by 37 CFR 1.35. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This beliefulon is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the inclivatual case. Any Comments on the amount of time you require to complete this form anytor suggestions for reducing this burden, should be sent to the Chief Three will vary depending upon the inclivatual case. Any Comments on the amount of time you require to complete this form anytor suggestions for reducing this burden, should be sent to the Chief Three Williams and Trademark Office, U.S. Patern and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Auszandria, VA 22313-1450. If you need assistance in completing the form, call 1-860-PTO-8199 and select option 2.

PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/091,816	_		
Filing Date	March 6, 2002	_		
First Named Inventor	Scott Edward Klopfenstein	_		
Art Unit	2611			
Examiner Name	Jason P. Salce	_		
Attorney Docket Number	PU010195	_		

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,			
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Passages or Relevant Passages or Relevant Figures Appear		T⁵		
-		PCT WO 00/56066	09/21/00	WEBTV NETWORKS, INC.	-			
		(EP Search Report dated 18 July 2005 attached)						

	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.